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*RECEIPT*

Patent Docket P2533C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  David Botstein et al.  Serial No.: 09/648,183  Filed: 25 August 2000  For: <b>CARDIOTROPHIN-1 COMPOSITIONS AND METHODS FOR THE TREATMENT OF TUMOR</b>	Group Art Unit: 1633  Examiner: E. Sorbello  <b>CERTIFICATE OF MAILING</b> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on  June <u>5</u> , 2001  <i>Ann Savelli</i> Ann Savelli
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**REQUEST FOR A CORRECTED FILING RECEIPT**

Assistant Commissioner of Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the correction as follows:

In the "**Domestic Priority data as claimed by applicant**" section please correct as follows:

Please correct line one as follows:

**WHICH IS A CON OF 08/733,850 10/18/1996 ABN**

Before the first line please insert:

**THIS APPLICATION IS A CON OF 09/234,730 01/21/1999**

**WHICH IS A CON OF 09/033,114 03/02/1998**

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**JAN 23 2002**

**TECH CENTER 1600/2900**

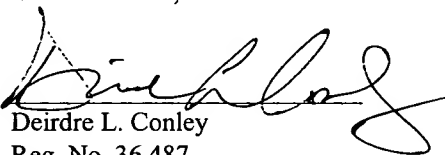
The correction is not due to any error by applicant and no fee is believed to be due. However, in the event that the Patent Office determines that fees are due in connection with the filing of this document, we hereby authorize the Commissioner to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: June 4, 2001

By:



Deirdre L. Conley

Reg. No. 36,487

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09157

PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/648,183 ✓	08/25/2000 ✓	1641	690	P2533C1	13	7	1

CONFIRMATION NO. 5740

## CORRECTED FILING RECEIPT



\*OC000000006077243\*

Genentech Inc  
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South San Francisco, CA 94080-4990

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MAY 23 2001

GENENTECH, INC.  
LEGAL DEPT.

Date Mailed: 05/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David Botstein, Belmont, CA; ✓  
Audrey Goddard, San Francisco, CA; ✓  
David A. Lawrence, San Francisco, CA; ✓  
Diane Pennica, Burlingame, CA; ✓  
Margaret Ann Roy, San Francisco, CA; ✓  
William I. Wood, Hillsborough, CA; ✓

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## Domestic Priority data as claimed by applicant

WHICH ~~THIS APPLICATION~~ IS A CON OF 08/733,850 10/18/1996 ABN ✓  
WHICH IS A CON OF 08/443,129 05/17/1995 PAT 5,627,073 ✓  
WHICH IS A DIV OF 08/286,304 08/05/1994 PAT 5,571,893 ✓  
WHICH IS A CIP OF 08/233,609 04/25/1994 PAT 5,534,615 ✓  
WHICH CLAIMS BENEFIT OF 60/113,296 12/22/1998 ✓

This application is a CON of 09/234,730 01/21/1999  
which is a CON of 09/033,114 03/02/1998

## Foreign Applications

If Required, Foreign Filing License Granted 09/29/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

**Title**

Cardiotrophin-1 compositions and methods for the treatment of tumor

**Preliminary Class**

435

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**Data entry by : MEREDITH, WANDA**

**Team : 2100**

**Date: 05/15/2001**



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Bib Data Sheet

CONFIRMATION NO. 5740

<b>SERIAL NUMBER</b> 09/648,183	<b>FILING DATE</b> 08/25/2000 <b>RULE</b>	<b>CLASS</b> 800	<b>GROUP ART UNIT</b> 1633	<b>ATTORNEY DOCKET NO.</b> P2533C1
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**APPLICANTS**

David Botstein, Belmont, CA;  
 Audrey Goddard, San Francisco, CA;  
 David A. Lawrence, San Francisco, CA;  
 Diane Pennica, Burlingame, CA;  
 Margaret Ann Roy, San Francisco, CA;  
 William I. Wood, Hillsborough, CA;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF 09/234,730 01/21/1999 ABN  
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 WHICH CLAIMS BENEFIT OF 60/113,296 12/22/1998

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED****\*\* 09/29/2000**

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 13	TOTAL CLAIMS 7	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature: <i>[Signature]</i> Initials: <i>[Initials]</i>				

**ADDRESS**

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**TITLE**

Cardiotrophin-1 compositions and methods for the treatment of tumor

<b>FILING FEE RECEIVED</b> 690	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
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